

DAC \$ 7/11/05

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date: 10/17/05 Name: Lisa M. Seaney Signature: [Signature]

BRINKS
HOFFER
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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yamamoto et al.

Appln. No.: 10/762,028

Filed: January 20, 2004

For: CATALYTIC ASYMMETRIC
EXPOXIDATION

Examiner:

Art Unit:

Attorney Docket No: 7814-93

[Choose Mail Stop or blank line]
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Request for Correction of Claim To Small Entity Status Under 37 CFR 1.28 (c).
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☒ Please charge Deposit Account No. 23-1925 in the amount of \$305.00. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

10/17/05
Date

[Signature]
Lisa M. Seaney (Reg. No. 56,246)



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Commissioner for Patents
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Alexandria, VA 22313-1450

10/17/05

Date of Deposit

Lisa M. Seane, Ph.D., Reg. No. 56,246

Name of applicant, assignee or
Registered Representative

Signature

10/17/05

Date of Signature

Our Case No. 7814.93

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Yamamoto et al.**

Serial No. 10/762,028

Filing Date: 01/20/2004

For: **CATALYTIC ASYMMETRIC
EXPOXIDATION**

)
)
)
) Examiner: Bernard Dentz
)
) Group Art Unit No. 1625
)

REQUEST FOR CORRECTION OF CLAIM TO SMALL ENTITY STATUS

UNDER 37 CFR 1.28(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby notify the U.S. Patent and Trademark Office that the status as a small entity for the above application was established in error and request that the error be excused. Below, please find an itemization of the deficiency now owed for each fee erroneously paid as a small entity.

Adjustment date: 10/21/2005 SLUANG1
08/31/2004 BABRAHA1 00000023 10762028
01 FC:2051 -65.00 OP

10/21/2005 SLUANG1 00000006 231925 10762028
01 FC:1051 130.00 OP
02 FC:1252 305.00 DA 145.00 OP

Adjustment date: 10/21/2005 SLUANG1
08/31/2004 BABRAHA1 00000024 10762028
01 FC:2252 -210.00 OP

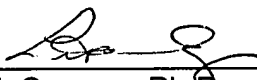
Type of Fee	Small Entity Fee Paid	Date Paid	Current Fee (other than small entity)	Deficiency
2 month extension of time	210	8/25/2004	450	240
Late Oath/Dec. Surcharge	65	8/25/2004	130	65
			Total Deficiency	\$305

Applicants note that the basic filing fee and extra claim fee were paid for large entity on January 20, 2004. No refund was requested, and thus applicants do not believe any deficiency is owed regarding January 20, 2004 filing and extra claim fees.

Applicants are hereby attempting to comply with the separate submission and itemization requirements of 37 CFR 1.28 (c)(1) and (c)(2).

Applicants authorize payment of said fee(s) from Deposit Account 23-1925. A duplicate copy of this request is enclosed.

Respectfully submitted,


 Lisa M. Seaney, Ph.D.,
 Reg. No. 56,246
 Agent for Applicants

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